Touché at CLEF 2024 | Human Value Detection

### Hierocles of Alexandria at Touché: Multi-task & Multi-head Custom Architecture with Transformer-based Models for Human Value Detection

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### **OVERVIEW**

- HUMAN VALUE DETECTION@SEMEVAL23
- HUMAN VALUE DETECTION@CLEF24
- EXPLORATORY PHASE
- 04 PROPOSED APPROACH
- FINE-TUNING
- RESULTS
- CONCLUSIONS
- FUTURE WORK



### HUMAN VALUE DETECTION@SEMEVAL23

- <u>Dataset</u>: Arguments
  - Premise, Conclusion, Stance
  - Monolingual task (English)
  - Our approach: Multi-task ensemble Model architecture
    - Main motive: handle class imbalance



### HUMAN VALUE DETECTION@CLEF24

- <u>Dataset</u>: Texts (400-800 words)
  - Multilingual task (9 languages + English translations)
  - <u>Our approach</u>: Multi-task Model architecture
    - *Challenge 1:* Handle class imbalance
    - *Challenge 2:* Handle multiple languages
    - Challenge 3: Exploit context



## EXPLORATORY PHASE (1/2)

Empirical Evidence (XLM-RoBERTa, Conneau et al., 2020<sup>1</sup>):

- Superior performance when fine-tuned with multilingual data
  - Our work is compliant to the empirical results

Initial experiment:

- Fine-tuned XLM-RoBERTa model:
  - Single model trained on all available languages
  - Multiple models each for a single language

<sup>1</sup>A. Conneau, K. Khandelwal, N. Goyal, V. Chaudhary, G. Wenzek, F. Guzmán, E. Grave, M. Ott, L. Zettlemoyer, V. Stoyanov, Unsupervised cross-lingual representation learning at scale, 2020. arXiv:1911.02116.

# **EXPLORATORY PHASE (2 / 2)**

### <u>Observations:</u>

- Models fine-tuned with multilingual data outperform monolingual ones
  - Validates empirical evidence
- Performance varies significantly across languages

### Variation across languages can be attributed to:

- Language disparities
- Class imbalance across languages

Macro-F1 (XLM-RoBERTa) (base)All29.5English22.41Greek26.16German25.24French2.52Italian22.71
English 22.41   Greek 26.16   German 25.24   French 2.52   Italian 22.71
Greek 26.16   German 25.24   French 2.52   Italian 22.71
German 25.24   French 2.52   Italian 22.71
French 2.52   Italian 22.71
Italian 22.71
<b>Dutch</b> 18.71
Bulgarian 23.30
<b>Turkish</b> 28.03
<b>Hebrew</b> 24.16

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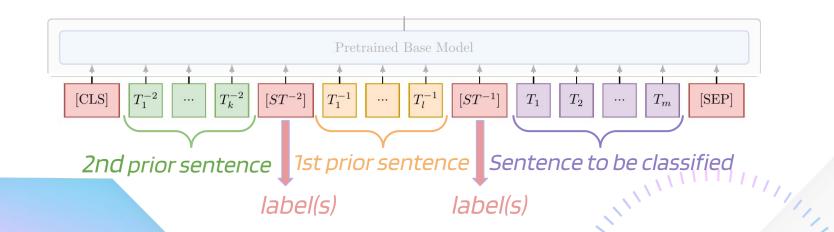
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## **PROPOSED APPROACH: MODEL INPUT**

Takes advantage of the available *contextual information:* 

- Sentence under examination is prepended with the history of the 2 previous sentences
  - Depending on sentence availability and model input capacity
- Added special tokens to the preceding sentences:
  - *Training:* The annotated values of these sentences (19/38 classes)
  - Inference: The previously predicted values of these sentences (19/38 classes)



## PROPOSED APPROACH: MODEL ARCHITECTURE (1/7)

Considering:

- Multi-label classification task
- The language disparities
  - The linguistic nuances

### Our proposed approach:

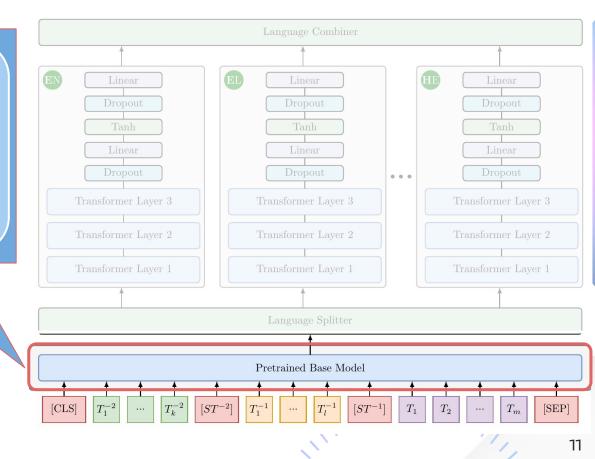
- Multi-task learning
  - Each language is being considered as a separate task
- Multi-head architecture
  - Each task corresponds to a single head
- Model extended with custom classification heads

## PROPOSED APPROACH: MODEL ARCHITECTURE (2 / 7)

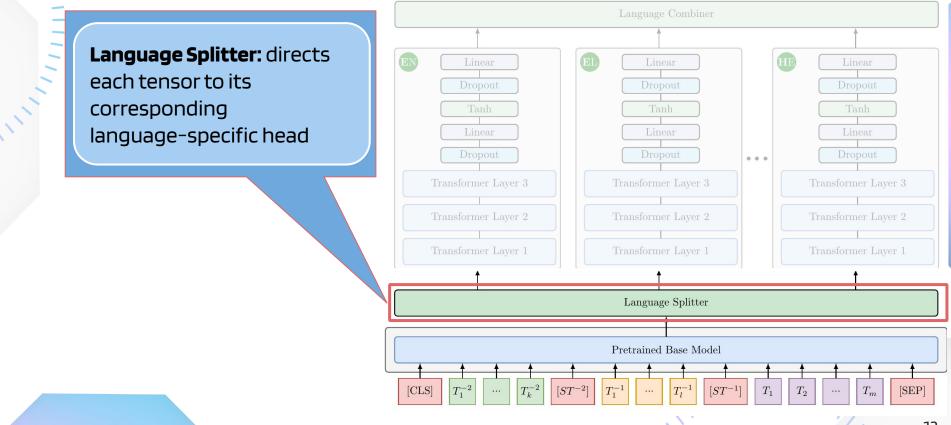
**Foundation:** Pre-trained Transformer language model (encoder)

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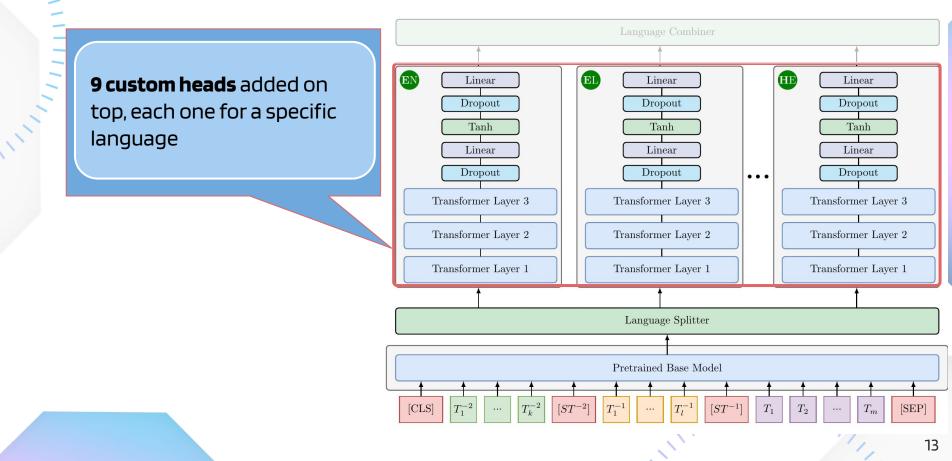
• The input batch is fed into the pre-trained base model



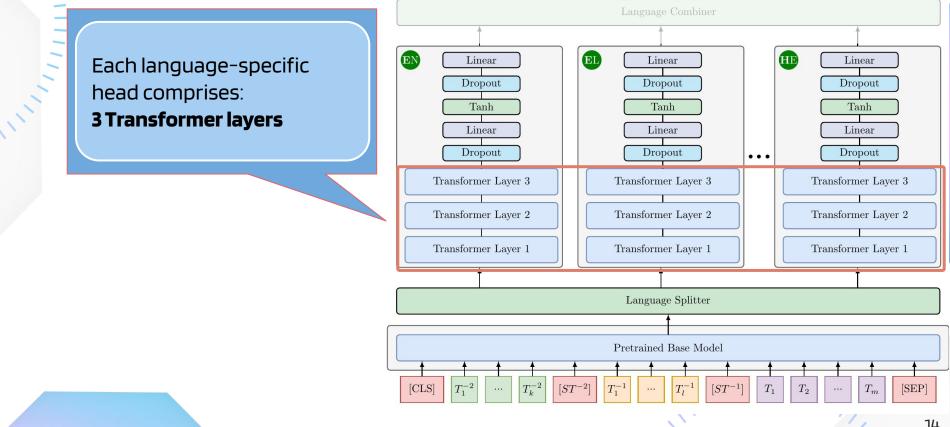
## PROPOSED APPROACH: MODEL ARCHITECTURE (3 / 7)



## PROPOSED APPROACH: MODEL ARCHITECTURE (4 / 7)



## PROPOSED APPROACH: MODEL ARCHITECTURE (5 / 7)



# PROPOSED APPROACH: MODEL ARCHITECTURE (6 / 7)

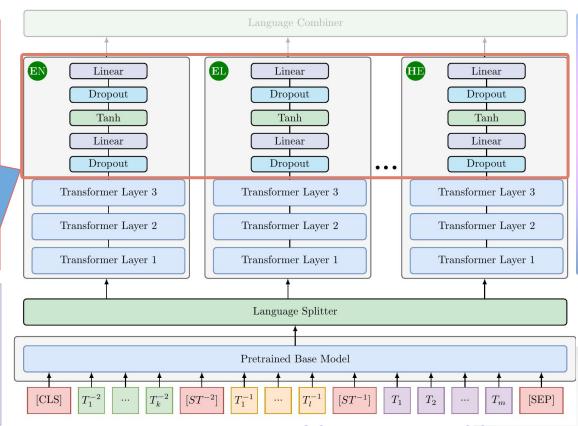
3rd transformer layer's **[CLS]** followed by:

- Dropout
- Linear layer
- Tanh
- Dropout
- Linear layer

### Problem

Solution: ?

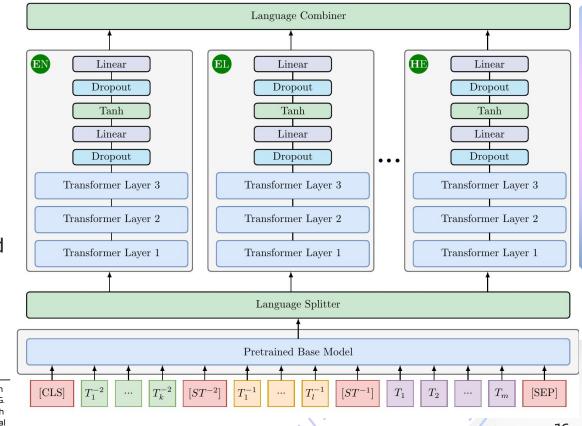
 Class imbalance → unequal probabilities



## PROPOSED APPROACH: MODEL ARCHITECTURE (7 / 7)

#### **Classification Thresholds:**

- Thresholds per class
  - Extending last year's winning approach (Schroter et al., 2023<sup>2</sup>)
- After sigmoid function applied to logits, predictions converted into:
  - **1**: if prediction >= threshold
  - **0**: if prediction < threshold



<sup>2</sup>D. Schroter, D. Dementieva, G. Groh, Adam-smith at SemEval-2023 task 4: Discovering human values in arguments with ensembles of transformer-based models, in: A. K. Ojha, A. S. Doğruöz, G. Da San Martino, H. Tayyar Madabushi, R. Kumar, E. Sartori (Eds.), Proceedings of the 17th International Workshop on Semantic Evaluation (SemEval-2023), Association for Computational Linguistics, Toronto, Canada, 2023, pp. 532–541. URL:<u>https://aclanthologv.org/2023.semeval-1.74</u>. doi:10.18653/v1/2023.semeval-1.74.

### **FINE-TUNING**

#### Fine-tuning:

- Binary Cross-Entropy Loss with Logits achieved the best results
- Positive weights for each class (most for under-represented classes)
  - Only helpful within monolingual classifiers

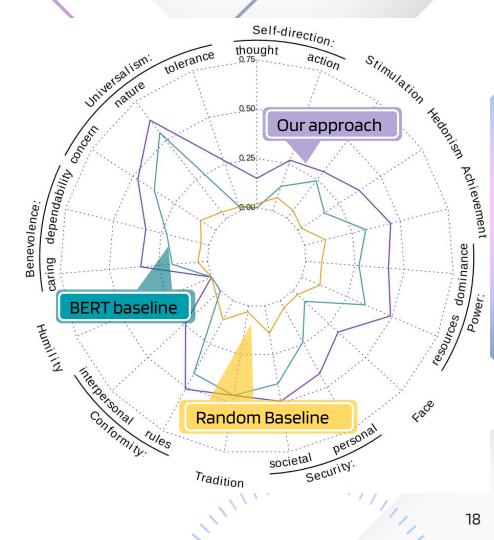
#### Threshold calculation:

- Keep threshold that maximizes the macro-F1 per class
  - Threshold range [0.05, 0.95]
- Generated predictions using the optimal threshold for each class

Hyperparameter	Value					
Seed	2024					
Number of Epochs	20					
Early Stopping Patience	5					
Sequence Length	512					
Train Batch Size	8/4					
Validation / Test Batch Size	8/4					
Learning Rate	5e-6					
Weight Decay	0.01					
Warm-up Ratio	0.01					
Optimizer	AdamW					
AdamW Epsilon	1e-8					
LR Scheduler	Linear					
Mixed Precision	fp16 / bf16					

### **RESULTS: SUB-TASK 1**

- Our approach vs baselines (macro-F1):
  - Multilingual:
    - Custom XLM-RoBERTa-xl (0.39)
  - English:
    - Custom RoBERTa-large (0.37)
    - Custom DeBERTa-v2-xxl (0.37)
- Test set submissions outperformed baseline scores in both multilingual and English-translated datasets
- Our approach outperformed all other approaches for sub-task 1 in both multilingual and English-translated datasets



### **RESULTS: SUB-TASK 2**

- Our approach vs baselines (macro-F1):
  - Multilingual: XLM-RoBERTa-xl
  - English: RoBERTa-large 4
- Outperforms all baselines
  - Except BERT-baseline (available only for English)
- Trained models with 38 classes to tackle both sub-task1&2
  - Alternative solution: tackle sub-task 2 as a separate classification problem
    - Not tested due to competition time constraints

SUBMISSIONS	macro-F1 (multilingual)	macro-F1 (English)
Custom XLM-R-XL	0.77	-
Custom R-large	-	0.77
BERT-baseline	-	0.81
Random-baseline	0.53	0.53
Random-baseline (EN)	-	0.52

### CONCLUSIONS

### Key points:

- Multi-task Model architecture
  - Considered languages as separate tasks → Capture linguistic nuances and disparities
- Dealt with data imbalance using classification thresholds for each class
- Exploiting contextual information (previous sentences and their classification)

#### Achievements:

- 1st Place in sub-task 1 (Multilingual & English-translated datasets).
- Multilingual submission outperformed baseline in sub-task 2.

### **FUTURE WORK**

- Experiment with Larger Models:
  - Add more Transformer layers within the custom architecture
  - Leverage as foundation larger models like XLM-RoBERTa-xxl
- Experiment with Data augmentation
- Experiment with Ensemble modeling
- Experiment with alternative loss functions
- Experiment different classification strategies
  - To better address sub-task 2

## **THANK YOU FOR YOUR ATTENTION!**

Do you have any questions?

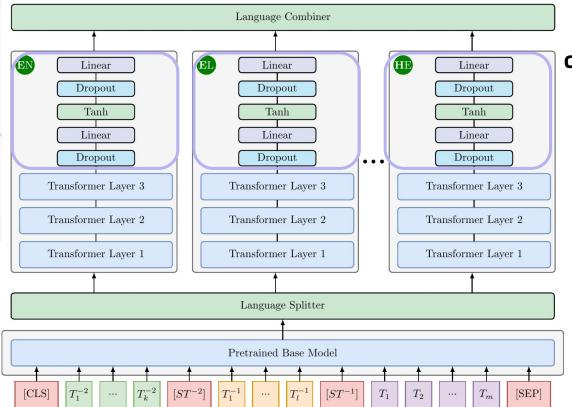
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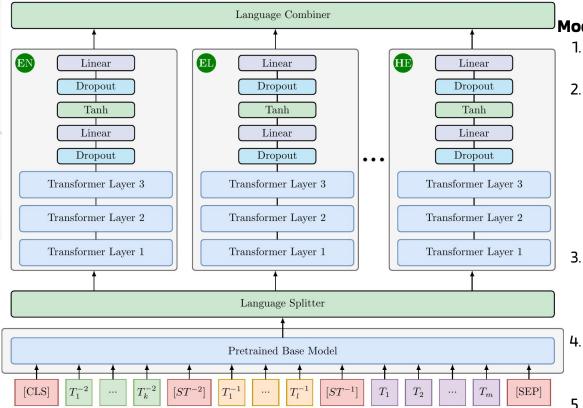
### SYSTEM OVERVIEW: MODEL ARCHITECTURE



#### **Classification Process:**

- The [CLS] token from the last Transformer layer (Transformer Layer 3) is passed through a dropout layer followed by a linear layer.
- The output of the previous linear layer is passed through a Tanh activation function and then subjected to a dropout and a linear layer.
- 3. The last linear layer produces **logits** corresponding to the number of classes.

### SYSTEM OVERVIEW: MODEL ARCHITECTURE



#### Model Training Workflow:

- 1. The **input batch** is fed into the pre-trained base model.
- 2. The output of the pre-trained model is passed through the **language splitter** which splits it according to the language identifiers within the batch. Each split tensor is directed to the corresponding custom Transformer head based on its language for further processing.
  - The **logits** produced by each custom Transformer head are concatenated into a single batch through the **language combiner**.
  - The concatenated logits batch is passed through the **loss function** to compute the training loss.
- 5. Model performs **backpropagation**.

### **RESULTS: SUB-TASK1**

11.

Achieved  $F_1$ -score of each submission on the test dataset for sub-task 1. A  $\checkmark$  indicates that the submission used the automatic translation to English. Baseline submissions shown in gray.

		<b>F</b> <sub>1</sub> -score	
Submission	EN	All Self-direction: thought Self-direction: action Stimulation Hedonism Achievement Power: dominance Power: resources Face Security: personal Security: societal Tradition Conformity: rules Conformity: interpersonal Humility Benevolence: caring Benevolence: caring Benevolence: dependability Universalism: nature Universalism: nature	-
multi-lingual XLM-RoBERTa-large_weights_context_ special tokens_19_only train da	ta	34 13 20 28 28 37 37 45 22 33 46 46 49 21 04 32 32 47 63	21
multi-lingual XLM-RoBERTa-large_context_19		36 15 28 35 35 44 39 47 28 40 48 49 50 20 08 33 32 47 60	24
multi-lingual XLM-RoBERTa-xl_context_special tokens_19		38 15 27 31 36 43 41 51 32 44 49 48 51 23 00 34 35 50 63	24
multi-lingual XLM-RoBERTa-xl_context_special tokens_38		39 15 27 30 37 45 42 49 31 42 49 46 51 24 00 34 33 47 63	27
translated XLM-RoBERTa-large_context_special tokens_19	$\checkmark$	35 14 25 30 28 41 40 46 25 40 48 48 48 20 05 34 30 46 59	25
translated RoBERTa-large_weights_context_special tokens_19_only train data	$\checkmark$	37 19 23 31 32 40 41 45 31 43 48 51 48 26 11 34 33 48 60	27
translated RoBERTa-large_context_special tokens_19	$\checkmark$	37 16 28 33 35 43 38 48 28 44 48 51 49 27 05 34 27 48 61	27
translated DeBERTa-v2-xxl_context_special tokens_19_only train data	$\checkmark$	37 15 26 32 32 44 40 45 32 41 47 49 50 24 05 34 33 48 62	27
translated RoBERTa-large_context_special tokens_38	$\checkmark$	37 12 24 32 36 42 39 46 28 43 47 49 49 22 00 34 32 47 61	27
valueeval24-bert-baseline-en	$\checkmark$	24 00 13 24 16 32 27 35 08 24 40 46 42 00 00 18 22 37 55	02
valueeval24-random-baseline		06 02 07 05 02 11 08 10 04 05 13 03 11 03 00 04 04 09 04	02
valueeval24-random-baseline	$\checkmark$	06 02 07 05 02 11 08 10 03 04 14 03 11 03 00 05 04 09 04	02

### **RESULTS: SUB-TASK 2**

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Achieved  $F_1$ -score of each submission on the test dataset for sub-task 2. A  $\checkmark$  indicates that the submission used the automatic translation to English. Baseline submissions shown in gray.

		~								<b>F</b> <sub>1</sub> -	sc	ore	6								
Submission	EN	All	Self-direction: thought	Self-direction: action	Stimulation	Hedonism	Achievement	Power: dominance	Power: resources			Security: societal		: rules	<b>Conformity: interpersonal</b>			Benevolence: dependability	Universalism: concern	Universalism: nature	Universalism: tolerance
multi-lingual XLM-RoBERTa-xl_context_special tokens_38		77	73	73	77	75	78	77	79	71 7	87	79 7	77	78	74	25	74	77	78	84	71
translated RoBERTa-large_context_special tokens_38	$\checkmark$	77	72	72	78	74	78	78	78	73 7	8 7	78 7	8	77	73	22	78	77	78	82	74
valueeval24-bert-baseline-en	$\checkmark$	81	83	79	86	88	84	77	80	74 8	4 8	31 7	8	78	79	87	89	86	85	81	78
valueeval24-random-baseline		53	55	49	52	54	52	56	56 5	50 4	8 5	54 5	50 5	54	55	61	55	51	48	51	51
valueeval24-random-baseline	$\checkmark$	52	51	47	54	52	53	55	53 5	52 5	2 5	50 5	54 5	53	49	45	53	56	52 ·	49	56